

# Efficient Transformation of MPEG-21 Metadata for Codec-agnostic Adaptation in Real-time Streaming Scenarios\*

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## Abstract

*Scalable media contents, such as the new MPEG-4 Scalable Video Codec enable to easily retrieve different qualities of the media content by simply disregarding certain media segments. The MPEG-21-based codec-agnostic adaptation approach supports this concept by introducing an XML-based Bitstream Syntax Description (BSD) which describes the different segments of a media content. Based on this BSD, an adaptation node can intelligently adapt any scalable media (i.e., remove specific media segments) without the need for codec-specific knowledge. The adaptation approach consists of 1) transforming this BSD and 2) adapting the media based on the transformed BSD. In our work we focus on the transformation step and evaluate different mechanisms with regards to their transformation efficiency given several application scenarios. In particular we compare the traditional stylesheet-based mechanisms with a novel mechanism based on regular expressions. We quantitatively evaluate these mechanisms in different adaptation scenarios, which vary with regards to the size and number of required BSDs. Finally, we discuss the measurements and also provide a qualitative evaluation with regards to scope and comprehensiveness of both mechanisms.*

## 1 Introduction

The information revolution of the last decade has resulted in an impressive increase in the quantity of multimedia content available to an increasing number of different users (with different preferences) who access the content through a variety of devices and over diverse networks. In order to react to this heterogeneous usage environments, the designers of new media codecs attempt to include adaptation support into the codec design. These scalable media

codecs support the generation of a degraded version of the original bitstream by means of simply removing bitstream segments. Depending on which segments are removed, the adapted version differs in one or more scalability dimensions, i.e., lower temporal / spatial resolution or quality. Note that not all of these dimensions are available for each codec type, i.e., video or audio.

The recently finalized MPEG-4 Scalable Video Codec (SVC) [9] is an example for a scalable codec. It segments the bitstream into Network Abstraction Layer Units (NALUs) where each NALU belongs to a specific temporal, spatial and quality layer in the media content, which is thereby organised in one base layer and several enhancement layers. Another example for a scalable media codec is the MPEG-4 Bit Slice Arithmetic Coding (BSAC) [6] audio codec, which separates each audio sample in one portion belonging to a base layer and up to 64 enhancement layer elements which can be truncated in order to retrieve a lower quality.

In Section 2 this paper introduces the MPEG-21-based approach to dynamic and distributed multimedia adaptation, which builds on top of these scalable codecs. Consequently, we focus on one particular requirement which results from this mechanism, i.e., to efficiently transform XML documents of various sizes. To this extend we introduce two well-known XML transformation mechanisms in Section 3 and compare them to our approach, which is based on regular expressions, in Section 4. Finally, we quantitatively evaluate the different approaches in Section 5 and we end with conclusions.

## 2 MPEG-21-based Dynamic and Distributed Adaptation

MPEG-21 Digital Item Adaptation [8] supports scalable codecs by providing normative XML descriptions for 1) the current Usage Environment (UED), 2) the high-level Bitstream Syntax (BSD) of the scalable media con-

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tent and 3) the available adaptation options (AQoS). The DIA-based adaptation approach consists of the following steps: 1) Based on the AQoS and the UED(s), an Adaptation Decision-Taking Engine (ADTE) decides which segments of the scalable media content to drop in order to meet the predefined QoS parameters of the session. 2) The BSD is transformed according to this adaptation decision, i.e., the description of certain bitstream segments is removed. 3) The bitstream is adapted according to the transformed BSD.

Since all of the DIA descriptions (including the transformation instructions for the BSD), i.e., all media-specific information, are provided together with the media bitstream, this enables codec-agnostic adaptation nodes, which support any type of scalable media which is properly described by those DIA descriptions.

The DIA-based adaptation mechanism was originally intended for static adaptation where the BSD describes the complete media bitstream and is transformed only once before the media bitstream is provided to the consumer. This is obviously inefficient in real-time streaming scenarios with dynamic usage environments. This static approach was therefore extended towards dynamic (and distributed) scenarios. It is enabled by a BSD which no longer describes the complete bitstream but only parts of it (e.g., access units or group of pictures). These BSD descriptions need to include timing information for their synchronized processing with the media content and are referred to as BSD Process Units (PUs). This fragmentation of the DIA-based adaptation mechanism also enables distributed adaptation (i.e., multiple adaptation steps along the delivery chain) where the BSDs are transmitted with the media segments which they describe. Consequently, the DIA-based adaptation process is performed on each of these BSDs (using up to date UEDs) which enables dynamic adaptation.

Apart from static adaptation - where the BSD can have a considerable size of several megabytes - dynamic adaptation introduces several further adaptation granularities. Depending on the application scenario BSD PUs can describe NALUs, Access Units (AUs), or Group of Pictures (GoPs). The very fine NALU-granularity has the advantage of a very low adaptation delay, since any adaptation decision is applied almost immediately. The coarser GoP-granularity introduces adaptation delay, since adaptation decisions can only be applied at GoP boundaries. However, it is advantageous in distributed adaptation scenarios since higher compression factors are possible due to the larger size of the BSD PUs.

In any of the above cases, the BSD (PUs) need to be transformed according to the adaptation decision. Evaluating existing and our novel approach to this transformation process (in light of the different adaptation granularities introduced above) therefore represents the focus of this paper.

For further reading on MPEG-21-based dynamic and

distributed adaptation, we refer to [5] and [7].

### 3 Existing XML Transformation Mechanisms

*Extensible Stylesheet Language Transformations* (XSLT) [4] is a declarative, template based, transformation language for XML documents. An XSLT processor needs two inputs: an XSLT style sheet that contains the transformation rules expressed in XML and the input XML document represented as DOM<sup>1</sup> tree. In addition a set of parameters and parameter values can be passed to the XSLT processor to steer the transformation. The XSLT processor traverses the DOM tree and applies the changes according to the transformation rules defined in the style sheet.

*Streaming Transformations for XML* (STX) [3] uses style sheets with XSLT-like notation to perform the transformation of XML documents. Instead of a DOM representation of the XML document the event-based SAX<sup>2</sup> approach is used. Structural events are extracted from the input document and passed to the STX processor that filters or alters the events corresponding to the STX style sheet. In contrast to XSLT the event-based STX approach does not mandate to have the complete document in memory, however this advantage comes at the cost of generating the SAX events. Additionally, this causes a lack of context information compared to DOM, therefore STX supports the buffering of events what makes it equally powerful as XSLT.

Both XSLT and STX support the codec-agnostic adaptation approach by providing a generic transformation process that is controlled by a codec-specific style sheet which can be provided together with the media content.

### 4 Regular Expressions for XML Transformation

Regular expressions [1] allow to specify a pattern for matching/replacing a substring in a string. They correspond to a type 3 grammar according to the Chomsky hierarchy [2] which creates a regular language recognizable by a finite state automaton. Regular expressions are thus much less expressive than XSLT, which is Turing-complete and therefore represents a type 0 grammar. Similar to style sheets, regular expressions can be provided together with the content, only requiring a generic regular expressions processor at the adaptation node, thus supporting the codec-agnostic adaptation paradigm.

In order to test their applicability to our application scenario of transforming BSD (PUs), we implemented XSLT

<sup>1</sup>Document Object Model, <http://www.w3.org/DOM/>

<sup>2</sup>Simple API for XML, <http://www.saxproject.org/>

and STX style sheets for SVC and BSAC adaptation and then tried to realize the same functionality using regular expressions. We show an example BSD PU for SVC in Listing 1, which describes *start* and *length* of every NALU together with a *marker* which indicates priority, temporal id, spatial id and quality id, thus identifying which enhancement layer the described NALU belongs to. For SVC, the transformation involves disregarding *gBSDUnits* from the BSD (PUs) if the value of the *marker* indicates that the NALU belongs to a layer which shall be dropped according to the adaptation decision. For BSAC the transformation additionally requires to update certain values in the BSD (PU).

For both cases we were able to implement the corresponding regular expressions. Listing 2 shows the regular expression for SVC, which matches (i.e., removes) *gBSDUnits* with quality id between 1 and 9, i.e., removes quality enhancement layers 1 and 2. However, we encountered certain limitations which we describe below together with our approaches to encounter them.

#### Listing 1. BSD PU describing an SVC AU

```
<dia:DIA <!-- namespaces omitted for brevity -->
<dia:Description xsi:type="gBSDType" addressUnit="byte"
  addressMode="Absolute">
<gBSDUnit start="0" length="501" marker="P0T0S0Q0"/>
<gBSDUnit start="501" length="815" marker="P0T0S0Q1"/>
<gBSDUnit start="1316" length="1602" marker="P0T0S0Q2"/>
<gBSDUnit start="2918" length="507" marker="P0T0S1Q0"/>
<gBSDUnit start="3425" length="1605" marker="P0T0S1Q1"/>
<gBSDUnit start="5030" length="3055" marker="P0T0S1Q2"/>
<gBSDUnit start="8085" length="1375" marker="P0T0S2Q0"/>
<gBSDUnit start="9460" length="5189" marker="P0T0S2Q1"/>
</dia:Description></dia:DIA>
```

#### Listing 2. Regular Expression for SVC

```
<gBSDUnit(.*)marker=\[P[0-9]T[0-9]S[0-9]Q[1-9]\]/>
```

Unlike style sheets, regular expressions are by itself not parametrizable, which is however needed to implement a certain adaptation decision provided by the ADTE. There are two solutions to this problem:

The obvious solution would be to extend regular expressions to be parametrizable, i.e., to introduce placeholders to the regular expressions which are replaced by the output from the ADTE. This replacement, i.e., the customization of the regular expression can again be performed by a regular expression. However, in order to enable this, additional control structures which steer this customization of the regular expression are necessary. These are traditionally provided by the programming language which uses the regular expressions and need to be defined, since they are not available in the generic regular expression processor in our application scenario.

A simpler solution is proposed which does not need any extensions to the normative regular expressions. The ADTE is a generic process which is steered by the AQoS. One possible layout for the AQoS is to contain tables which map a

specific UED to an adaptation decision, e.g., the number of quality layers which shall be dropped from the media content in case that the available bandwidth drops to a certain value. We propose to align the AQoS description to directly output a regular expression (instead of the number of quality layer in the above example). This design change of the AQoS (which does not inflict any changes to the standard) allows to use the generic ADTE to map UEDs to regular expressions which then transform the BSD (PUs) in order to react to the given UED.

The BSAC requirement to update certain values in the BSD (PUs) leads to an additional requirement. That is, the regular expressions need to indicate whether they replace the matching substring by an empty string (i.e., disregard elements) or by another string (i.e., update values). For this we propose to adopt the corresponding Perl<sup>3</sup> syntax, i.e., `s/<regular expression>/<replacement string>/`.

To conclude, the design decisions described above allow the regular expressions to fulfill the same tasks as the style sheets for our application. Consequently, we perform a quantitative evaluation in the next section.

## 5 Evaluation and Discussion

In this section we compare the time and memory needed for transforming SVC and BSAC (where applicable) BSD (PUs) of various size, i.e., NALU, AU, GoP granularity (with GoP size 16) and additionally a BSD containing 3000 AUs in order to represent static, server-based adaptation. For STX, we use Joost<sup>4</sup>, for XSLT we use libxslt<sup>5</sup> and for regular expressions we rely on the boost regular expressions library<sup>6</sup>. We only measure the time needed for the actual transformation and ignore any startup overhead (including, e.g., parsing the BSD and the style sheet), since their contribution to the overall CPU load is negligible in dynamic adaptation scenarios. We repeated all tests 500 times and only used the last 100 test runs for our measurements. This resulted in an insignificant deviation in the results, which is therefore not further considered. Additionally, for each test case, we measured the performance for disregarding all *gBSDUnits* (*dropall*), disregarding no *gBSDUnit* (*nothing*) and disregarding half of the *gBSDUnits* (*inbetween*) in order to cover different adaptation cases.

All tests were performed on a Dell Optiplex GX620 desktop with an Intel Pentium D 2.8 GHz processor and 1024 MB of RAM using Fedora Core 6 Linux with Kernel version 2.6.20 as an operating system. Memory consumption was measured using the *process status* (ps) tool and

<sup>3</sup>Perl, <http://www.perl.org>

<sup>4</sup>Joost version 2007-07-18, <http://joost.sourceforge.net>

<sup>5</sup>libxslt version 1.1.21, <http://xmlsoft.org/XSLT>

<sup>6</sup>Boost.Regex version 1.33.1, <http://www.boost.org/libs/regex/doc/>

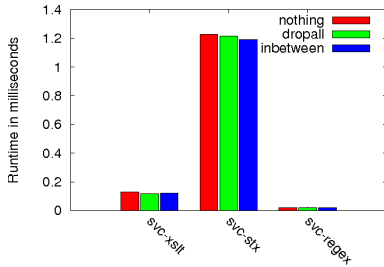


Figure 1. NALU granularity performance

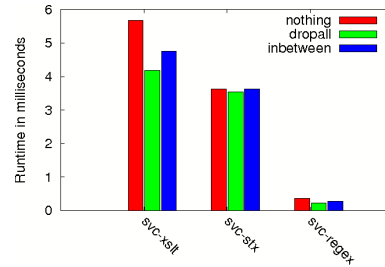


Figure 3. GoP granularity performance

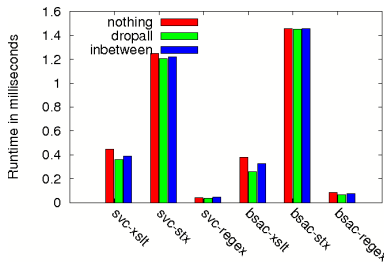


Figure 2. AU granularity performance

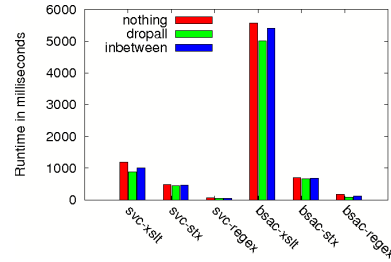


Figure 4. Performance for 3000 AUs

time measurements were performed based on the *gettime-of-day* method.

Figure 1, 2, 3 and 4 show the results for NALU, AU, GoP and 3000 AU granularity, respectively. As can be seen, regular expressions increase transformation performance at least by a factor 4 compared to the other approaches. Since the transformation takes a considerable amount of time in our adaptation node[7] (on par with the actual adaptation of the bitstream), the usage of regular expressions significantly increases the throughput of the adaptation node. Additionally the measurements show that for small BSD PUs (i.e., NALU and AU granularity) the XSLT mechanism performs significantly better than the STX mechanism. However, for larger BSDs STX is performing better than XSLT, which is particularly apparent for the BSD describing 3000 AUs. This is due to the event-based approach of STX which does not mandate to keep the complete XML document in memory. The break-even-point between STX and XSLT performance is at 60 KB / 74 AUs for BSAC and 4,8 KB / 8 AUs for SVC - apparently the additional update operations for BSAC are the reason of this difference. Memory consumption for small BSD PUs is insignificant, however for larger BSDs - such as our BSD example with 3000 AUs - memory consumption becomes significant for XSLT (i.e., 59.5 MB for SVC and 111.7 MB for BSAC) and slows down processing considerably as can be seen in Figure 4. Generally, regular expressions again perform best with regards to memory consumption.

## 6 Conclusion

In this paper we described the state of the art in XML transformation in order to transform BSD (PUs) for MPEG-21-based codec-agnostic multimedia adaptation and introduced our novel approach, which relies on regular expressions. We qualitatively and quantitatively compared the different approaches. The results show that our approach is a viable alternative which significantly increases transformation performance, which in return increases the throughput of our codec-agnostic adaptation node.

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